



U.S. Department of Justice

*United States Attorney
Southern District of New York*

*The Silvio J. Mollo Building
One Saint Andrew's Plaza
New York, New York 10007*

October 10, 2018

BY ECF

Honorable Valerie E. Caproni
United States District Judge
Southern District of New York
40 Foley Square
New York, NY 10007

**Re: United States v. Daniel Rivas,
17 Cr. 492 (VEC)**

Dear Judge Caproni:

As the Court is aware, in August 2017, Daniel Rivas, the defendant in the above-captioned, matter pleaded guilty to securities fraud and related offenses, pursuant to a cooperation agreement. Rivas has been cooperating with the Government in its prosecution of *United States v. Michael Siva, et al.*, 17 Cr. 503 (AJN), a five defendant insider trading case pending before Judge Nathan. Yesterday, counsel for defendant Siva informed the Government that Siva intends to plead guilty pursuant to a written plea offer. An appearance is scheduled before Judge Nathan for October 18, 2018. Today, the Government filed a letter motion requesting that the October 18, 2018 proceeding be converted into a plea hearing. After that proceeding, the Government will update this Court to confirm whether all defendants have pleaded guilty, provide the Court with all relevant sentencing dates, and request a sentencing date for defendant Rivas.

Very Truly Yours,

GEOFFREY S. BERMAN
United States Attorney

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